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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/761,291	01/22/2004	Hajime Ohno	03500.017865.	3179
5514 7590 12/27/2007 FITZPATRICK CELLA HARPER & SCINTO 30 ROCKEFELLER PLAZA NEW YORK, NY 10112			EXAMINER SEYE, ABDOU K	
			ART UNIT 2194	PAPER NUMBER
			MAIL DATE 12/27/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/761,291

Applicant(s)

OHNO, HAJIME

Examiner

Abdou Karim Seye

Art Unit

2194

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 October 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,6 and 16-24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,6 and 16-24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 22 January 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

WILLIAM THOMPSON
SUPERVISORY PATENT EXAMINER

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Response to Amendment

1. The amendment filed on October 18, 2006 has been received and entered. The amendment amended Claims 1 and 6 and cancelled claims 2-5 and 7-15, and added new claims 16-24. The currently pending claims considered below are Claims 1, 6 and 16-24.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

b) The invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 1, 16-24 are rejected under 35 U.S.C. 102(b) as being anticipated by **Hosoda (20010022662)**.

Claims 1, 6 and 24 Hosoda teaches, a system , product and data processing method which is executed in an information processing apparatus for performing a data process based on delivery data transmitted from an external information processing apparatus, said method comprising:

a reception step of receiving the delivery data transmitted from the external information processing apparatus (FIG. 1, paragraph 81 and paragraph 94,122);

an activation step of activating a program corresponding to the delivery data received in said reception step (FIG. 2: 805 and FIG. 5: 2101);

a judgment step of judging whether or not first data is included in the delivery data, by analyzing the delivery data received in said reception step (paragraph 350; data analysis);

an issuance step of issuing an event notification indicating that the first data is included, in a case where it is judged in said judgment step that the first data is included in the delivery data (paragraph 120; an issued email event notification);

a second judgment step of judging whether or not a process corresponding to the event notification has been registered in the program activated in said activation step(FIG. 5 and FIG. 12; job/process creation associated with an id); and

an execution step of executing, in a case where it is judged in said second judgment step that the process corresponding to the event notification has been registered in the activated program, the process to be executed by the program activated in said activation step and corresponding to the event notification issued in said issuance step(FIG. 10: 2203; job processor unit).

As to Claim 16, Hosoda teaches a third judgment step of judging whether or not second data is included in the delivery data, by analyzing the delivery data received in said reception step; a second issuance step of issuing a second event notification indicating that the second data is included, in a case where it is judged in said third judgment step that the second data is included in the delivery data; and a second activation step of causing the program activated in said first activation step to activate a program for executing a process corresponding to the second event notification (paragraph 96 and 106 and 121; user entry data validation).

As to Claim 17, Hosoda teaches , wherein the first data is form data, and the process corresponding to the event notification issued in said issuance step is a form data process (FIG. 2).

As to Claim 18, Hosoda teaches, wherein the first data is field data, and the process corresponding to the event notification issued in said issuance step is a field data process (FIG. 2: 802/803).

As to Claim 19, Hosoda teaches, wherein the second data is user-defined data, and the program for executing the process corresponding to the second event notification is a program for executing password authentication (FIG. 6 , paragraph 162).

As to claims 20-23, they are rejected for the same reasons as the claims above.

Conclusion

4. The prior art made of record and not relied upon is considered pertinent to the applicant's disclosure.

D'Souza et al (US 6415282) discloses an intelligent forms for improved automated workflow processing.

5. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Abdou Seye whose telephone number is (571) 270-1062. The examiner can normally be reached on Mon - Fri, 7:30am - 4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, William Thomson can be reached on 571-272-3718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

AKS
December 22, 2007


WILLIAM THOMSON
SUPERVISORY PAIL